

# ***U.S. PATENT APPLICATION***

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*Invention:* DIELECTRIC RECORDING/REPRODUCING HEAD AND TRACKING  
METHOD

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## ***SPECIFICATION***

## DIELECTRIC RECORDING / REPRODUCING HEAD AND TRACKING METHOD

### BACKGROUND OF THE INVENTION

#### 5 1. Field of the Invention

The present invention relates to a dielectric recording / reproducing head for recording data in a dielectric recording medium by changing polarization directions of a dielectric material according to the data and reproducing data recorded in the dielectric recording 10 medium, and to a tracking method.

#### 2. Description of the Related Art

As high-density, large-capacity recording / reproducing apparatuses of randomly accessible type, there are known an optical disk apparatus and a hard disc drive (HDD) apparatus. Moreover, a 15 recording / reproducing technique using a scanning nonlinear dielectric microscopy (SNDM) for the nanoscale analysis of a dielectric (ferroelectric) material has been recently proposed by the inventors of the present invention.

In the optical recording, an optical pickup with a laser as a 20 light source is used. Data is recorded by forming pits that are concave-convex on a disk surface or forming the crystal phase of a phase shift medium. The recorded data is reproduced by using the difference in the reflectance between a crystal phase and an amorphous phase or using the magneto optical effect. However, the 25 inertia of the pickup is relatively large, which is not appropriate for high-speed reading, and the size of the recording pit in using a

focusing optical system, such as lens, is defined by the diffraction limit of light, so that its recording density is limited to 50 G bit/inch<sup>2</sup>.

In the longitudinal recording of magnetic recording as represented by the HDD, a magnetic resistance (MR) head has been  
5 recently realized using giant magnetic resistance (GMR) and its recording density is expected to be larger than that of the optical disk by using perpendicular magnetic recording. However, the recording density is limited to 1 T bit/inch<sup>2</sup> due to thermal fluctuation of magnetic recording information and the presence of a Bloch wall in a  
10 portion in which a code or sign is reversed or changed, even if patterned media are used considering the above cause.

Using the SNDM to measure a non-linear dielectric constant of a ferroelectric material, it is possible to determine the plus and minus of a ferroelectric domain. Moreover, the SNDM is found to  
15 have sub-nanometer resolution using an electrically conductive cantilever which is provided with a small probe on its tip portion and which is used for an atomic force microscopy (AFM) or the like.

In the nanometer-scale analysis of the dielectric material using this SNDM, positioning is performed by controlling a piezo stage, as performed for the AFM apparatus. Moreover, using the high resolution of the SNDM, there is a possibility to realize a super-high-density recording / reproducing system with a ferroelectric substance as a medium, but in this case, it is necessary to generate and detect a control signal such as tracking signal, as  
20 performed for an optical disk apparatus and a magnetic disk apparatus.  
25

However, the above-described SNDM has not been specially developed in view of a recording / reproducing apparatus, and there have not been presented any preferable method of and apparatus structure for generating and detecting the control signal such as  
5 tracking signal as presented for the optical disk apparatus and the magnetic disk apparatus.

#### SUMMARY OF THE INVENTION

It is therefore an object of the present invention to provide a  
10 dielectric recording / reproducing head and a tracking method, which enable accurate tracking when recording or reproducing.

The above object of the present invention can be achieved by a first dielectric recording / reproducing head for a dielectric recording medium, provided with a recording / reproducing electrode for  
15 recording information or data in the dielectric recording medium or reproducing information or data recorded in the dielectric recording medium. A first width of a tip portion of the recording / reproducing electrode is larger than a width of a track of the dielectric recording medium.

According to the first dielectric recording / reproducing head of the present invention, the tip portion of the recording / reproducing electrode has the first width larger than the width of the track of the dielectric recording medium. Therefore, if the tip portion of the recording / reproducing electrode is located exactly on  
25 or above the target track, the tip portion of the recording / reproducing electrode can cover the target track, a part of the

adjacent track located on one side of the target track and a part of the adjacent track located on the other side of the target track. Therefore, not only data or information recorded on the target track, but also data or information recorded on the adjacent track(s) can be  
5 detected by the electrode at a time. The data or information recorded on the adjacent track(s) can be used for tracking control of the dielectric recording / reproducing head. The use of the data or information recorded on the adjacent track(s) makes the track control easy and accurate. Alternatively, if there is a space between  
10 the target track and the adjacent track(s), the tip portion of the recording / reproducing head can cover the target track, a part of the space located on one side of the target track and a part of the space located on the other side of the target track. Therefore, if the recording / reproducing electrode moves in the track width direction  
15 by a small amount, the position of the tip portion of the recording / reproducing electrode is still located on or above the target track, so that an on-track state (i.e. the state where the electrode correctly traces the target track) is kept. This means that tracking control becomes easy (i.e. it is possible to allow the relatively rough tracking  
20 control).

Incidentally, with respect to the shape of the recording / reproducing electrode, a pin shape or needle-shape, a cantilever-shape, and the like are known as specific structures. The electrode having these shapes is collectively referred to as the  
25 "probe" in the present application as occasion demands.

In one aspect of the first dielectric recording / reproducing

head of the present invention, the tip portion of the recording / reproducing electrode has the first width in a longitudinal direction and a second width in a cross direction. The first width is larger than the second width. For example, the shape of the cross-section 5 of the tip portion of the recording / reproducing electrode is formed in the shape of an ellipse or rectangle. According to this aspect, the above-mentioned easy and accurate tracking control can be achieved.

The above object of the present invention can be achieved by a second dielectric recording / reproducing head for a dielectric 10 recording medium, provided with: a recording / reproducing electrode for recording information or data in the dielectric recording medium or reproducing information or data recorded in the dielectric recording medium; and a slider placed on the surrounding of the recording / reproducing electrode and having a surface facing to the 15 dielectric recording medium.

According to the second dielectric recording / reproducing head of the present invention, the slider is placed on the surrounding of the probe. The slider protects the recording / reproducing electrode and keeps a constant distance between the probe and the 20 dielectric recording medium.

In one aspect of the second dielectric recording / reproducing head of the present invention, the recording / reproducing electrode has a cantilever shape.

According to this aspect, the probe has a cantilever shape, 25 giving excellent flexibility as a probe.

In another aspect of the second dielectric recording /

reproducing head of the present invention, the slider contains a conductive member and has a function of a return electrode for returning an electric field applied from the recording / reproducing electrode to the dielectric recording medium.

- 5        According to this aspect, the slider can functions as a return electrode for returning a high frequency electric field applied from the probe when reproducing data recorded in the dielectric recording medium. Especially, if the SNDM technique is used for reproducing information or data recorded in the dielectric (ferroelectric) 10 recording medium, the return electrode is needed, and it is placed near the recording / reproducing electrode in order to reduce noises. In the SNDM, in order to detect the capacitance corresponding to a nonlinear dielectric constant located just under the tip portion of the recording / reproducing electrode, the frequency modulation is used.
- 15      To this end, the very compact high-frequency oscillation circuit is needed. This oscillation circuit is constructed of an oscillator and a resonance circuit and other necessary electric elements. Further, the resonance circuit is constructed of an inductor and a capacitance of the dielectric (ferroelectric) material of the dielectric 20 (ferroelectric) recording medium located just under the recording / reproducing electrode, for example. The oscillation frequency of the oscillation circuit is determined by the inductance of the inductor and the capacitance of the dielectric (ferroelectric) material. In order to work this oscillation circuit, it is needed to apply a 25 high-frequency signal to the dielectric (ferroelectric) material though the recording / reproducing electrode, generate a high-frequency

electric field in the dielectric (ferroelectric) material, and return the high-frequency signal as a feedback in the oscillation circuit. To this end, a route through which the high-frequency signal returns is needed, and further, it is preferable that this route is very short in  
5 order to reduce noises. Therefore, it is preferable that the return electrode is placed near the recording / reproducing electrode. According to this aspect of the present invention, the slider, which is located near the recording / reproducing electrode, has the function of the return electrode. Therefore, the very short route for returning  
10 the high-frequency signal can be formed, and the noises can be reduced.

In another aspect of the second dielectric recording / reproducing head of the present invention, the slider contains an insulating member and has a conductive film on the surface of the  
15 slider facing to the dielectric recording medium, and the conductive film has a function of the return electrode.

According to this aspect, the conductive film for the return electrode is placed on the surface of the slider, which contains an insulating member, facing to the dielectric recording medium.

20 In another aspect of the second dielectric recording / reproducing head of the present invention, an end portion of the slider located against a direction in which the dielectric recording medium relatively moves has a curved or sloping surface with respect to a surface of the dielectric recording medium.

25 According to this aspect, the movement of the dielectric recording medium causes flows of air. The air mainly flows in the

direction of movement of the dielectric recording medium, and hits the end portion of the slider. At this time, the air is adjusted by the curved or sloping surface of the end portion of the slider. Therefore, it is possible to stabilize the posture of the slider.

5 In another aspect of the second dielectric recording / reproducing head of the present invention, a tip portion of the recording / reproducing electrode is constructed not to project from the surface of the slider facing to the dielectric recording medium.

According to this aspect, the probe is set not to project from  
10 the surface of the slider facing to the dielectric recording medium. Due to this setting, it is possible to prevent the destruction of the probe and the damage to the dielectric recording medium caused by the probe crashing the dielectric recording medium.

In another aspect of the second dielectric recording /  
15 reproducing head of the present invention, a first width of the tip portion of the recording / reproducing electrode is larger than the width of the track of the dielectric recording medium. For example, the shape of the cross-section of the tip portion of the recording / reproducing electrode may be formed in the shape of an ellipse or  
20 rectangle.

According to this aspect, as mentioned above, easy and accurate tracking control can be achieved.

In another aspect of the second dielectric recording / reproducing head of the present invention, the head is provided with  
25 a first tracking signal detection electrode for detecting a tracking signal.

According to this aspect, the electrode only for tracking error detection is provided. This electrode allows the tracking error detection with a good accuracy.

In another aspect of the second dielectric recording / 5 reproducing head of the present invention, the first tracking signal detection electrode is placed in front of or behind the recording / reproducing electrode, deviating by half a track pitch in one direction along a track width direction.

According to this aspect, the electrode only for tracking error 10 detection is placed bridging adjacent two tracks, so that tracking error is detected from a signal obtained from the two adjacent tracks, for example, a target track and a track adjacent to the target track. Therefore, easy and accurate tracking control can be achieved on the basis of this tracking error detection.

15 In another aspect of the second dielectric recording / reproducing head of the present invention, the head is further provided with a second tracking signal detection electrode for detecting a tracking signal. The second tracking signal detection electrode is placed in front of or behind the recording / reproducing 20 electrode, deviating by half a track pitch in the opposite direction to said one direction.

According to this aspect, the head has the two electrodes only for tracking error detection. The first tracking signal detection electrode is placed at a portion deviating from the position of the 25 recording / reproducing electrode by half a track pitch in one direction. The second tracking signal detection electrode is placed

at a portion deviating from the position of the recording / reproducing electrode by half a track pitch in the opposite direction. The first tracking signal detection electrode can detect not only information or data recorded on the target track but also information or data  
5 recorded on the track adjacent to the target track in one direction. The second tracking signal detection electrode can detect not only information or data recorded on the target track but also information or data recorded on the track adjacent to the target track in the opposite direction. Base on these information or data, the amount of  
10 tracking error and the direction of tracking error are determined. Therefore, easy and accurate tracking control can be done on the basis of the tracking error detections.

In another aspect of the second dielectric recording / reproducing head of the present invention, an insulator is placed  
15 between the slider and the recording / reproducing electrode.

According to this aspect, the inside of the slider is filled with an insulator, which can fix the probe for recording / reproducing and the electrode for tracking error detection. It is preferable that the insulator is a molding member for holding the probe in the inside of  
20 the slider. By the molding member, the position of the probe is fixed.

The above object of the present invention can be achieved by a first tracking method of a dielectric recording / reproducing head for a dielectric recording medium having tracks, provided with a signal  
25 obtaining process of obtaining a tracking error signal from adjacent two tracks by using a recording / reproducing electrode whose tip

portion has a width larger than a width of the track; and tracking control process of performing tracking control on the basis of the obtained tracking error signal.

According to the first tracking method of the present invention,  
5 the track control is performed by the tracking error signal obtained from the adjacent two tracks. As the recording / reproducing electrode has the tip portion with the width larger than the width of the track, the tracking error signal can be obtained from the adjacent two tracks at a time. Therefore, easy and accurate tracking control  
10 can be achieved on the basis of this tracking error signal. Incidentally, the direction of tracking error may be detected by using wobbling technique.

In this tracking method, a plurality of first pits each having a first polarity and a plurality of second pits each having a second polarity may be alternately arranged on each of the adjacent two tracks, and the location of the arrangement of the first pits and the second pits on one of the adjacent two track and the location of the arrangement of the first pits and the second pits on the other of the adjacent two tracks may be shifted each other at an angle of 90  
20 degrees.

According to this aspect of the present invention, a first detection signal component having a predetermined frequency is obtained from one of the adjacent two tracks. This predetermined frequency corresponds to the arrangement of the first pits and the second pits on this track. Further, a second detection signal component having a predetermined frequency is obtained from the

other of the adjacent two tracks. This predetermined frequency corresponds to the arrangement of the first pits and the second pits on this track. If the distance between the first pit and the second pit adjacent to each other on one of the adjacent two tracks is the 5 same as that on the other of the adjacent two tracks, the predetermined frequency of the first detection signal component and the predetermined frequency of the second detection signal component are the same each other. However, the location of the arrangement of the first pits and the second pits on one of the 10 adjacent two track and the location of the arrangement of the first pits and the second pits on the other of the adjacent two tracks are shifted each other at an angle of 90 degrees. Therefore, the phase of the first detection signal component and the phase of the second detection signal component are different from each other by an angle 15 of 90 degrees. Based on the first detection signal component and the second detection signal component, the tracking error signal having the double frequency of the predetermined frequency of the first and second detection signal component can be obtained. By using this tracking error signal, easy and accurate tracking control can be 20 carried out. Incidentally, the direction of error may be detected by using wobbling technique.

Further, in this tracking method, the first pits and the second pits may be recorded on the adjacent two tracks as polarization directions of a ferroelectric material of the dielectric recording 25 medium.

Moreover, in this tracking method, the tracking error signal is

obtained by using a scanning nonlinear dielectric microscopy.

According to this aspect, the SNDM technique is applied to signal reproduction and tracking error signal detection. Tracking control is performed on the basis of the detected tracking error signal.

- 5 The SNDM reproduction technique is introduced in detail by the present inventor, Yasuo Cho, in Oyo Butsuri Vol. 67, No. 3, p327 (1998). Alternatively, it is also described in detail in Japanese Patent Application No. 2001-274346 and No. 2001-274347, etc., filed by the present inventors. Namely, in this technique, the recording /
- 10 reproducing electrode (e.g. a probe) scans over a dielectric (ferroelectric) substance to detect the polarization state of the dielectric (ferroelectric) substance. The capacitance corresponding to the polarization direction is detected, and this corresponds to recorded data. The data is recorded by applying an electric field to
- 15 the dielectric (ferroelectric) substance from the probe, or to the probe from the lower electrode formed in the dielectric (ferroelectric) substance and thus making the polarization to be in a predetermined direction. Extremely high-density recording becomes possible.

- The above object of the present invention can be achieved by a
- 20 second tracking method of a dielectric recording / reproducing head for a dielectric recording medium having tracks, provided with a signal obtaining process of obtaining a tracking error signal from adjacent two tracks by using a tracking signal detection electrode which is located on or above the adjacent two tracks; and a tracking
- 25 control process of performing tracking control on the basis of the obtained tracking error signal.

According to the second tracking method of the present invention, the tracking error signal is obtained from the adjacent two tracks by using a tracking signal detection electrode which is located on or above the adjacent two tracks. By this method, the 5 above-mentioned tracking error signal having the double frequency can be generated, and easy and accurate tracking control can be performed by using this tracking error signal.

The above object of the present invention can be achieved by a third tracking method of a dielectric recording / reproducing head for 10 a dielectric recording medium having tracks, provided with a signal obtaining process of obtaining a tracking error signal from a target track, a first adjacent track located on one side of the target track and a second adjacent track located on the opposite side of the target track by using a first tracking signal detection electrode located on or 15 above the target track and the first adjacent track and a second tracking signal detection electrode located on or above the target track and the second adjacent track; and a tracking control process of performing tracking control on the basis of the obtained tracking error signal.

According the third tracking method, a first tracking error signal is obtained from the target track and the first adjacent track located on one side of the target track by using the first tracking signal detection electrode. Further, a second tracking error signal is obtained from the target track and the second adjacent track 25 located on the opposite side of the target track by using the second tracking signal detection electrode. By comparing two tracking

error signals with each other, a final tracking error signal is generated. The tracking control is performed on the basis of the final tracking error signal. According to this method, not only the amount of the tracking error but also the direction of the tracking  
5 error can be recognized. Therefore, easy and accurate tracking control can be achieved.

The nature, utility, and further features of this invention will be more clearly apparent from the following detailed description with reference to preferred embodiments of the invention when read in  
10 conjunction with the accompanying drawings briefly described below.

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1A is a plan view showing a first embodiment of a dielectric recording / reproducing head associated with the present  
15 invention;

FIG. 1B is an A1-A1 cross sectional view of FIG. 1A;

FIG. 2A is a plan view showing a second embodiment of the dielectric recording / reproducing head associated with the present invention;

20 FIG. 2B is an A2-A2 cross sectional view of FIG. 2A;

FIG. 3A is a plan view showing a third embodiment of the dielectric recording / reproducing head associated with the present invention;

FIG. 3B is an A3-A3 cross sectional view of FIG. 3A;

25 FIG. 4A is a plan view showing a fourth embodiment of the dielectric recording / reproducing head associated with the present

invention;

FIG. 4B is an A4-A4 cross sectional view of FIG. 4A;

FIG. 5A is a plan view showing a fifth embodiment of the dielectric recording / reproducing head associated with the present  
5 invention;

FIG. 5B is an A5-A5 cross sectional view of FIG. 5A;

FIG. 6A is a plan view showing a sixth embodiment of the dielectric recording / reproducing head associated with the present invention;

10 FIG. 6B is an A6-A6 cross sectional view of FIG. 6A;

FIG. 7A is a plan view showing an example of a ferroelectric recording medium;

FIG. 7B is an A7-A7 cross sectional view of FIG. 7A;

15 FIG. 8 is a schematic diagram to explain information recording/ reproducing with respect to a ferroelectric substance;

FIG. 9 is a schematic diagram showing a track structure example of the ferroelectric recording medium;

20 FIG. 10 is a schematic diagram showing the phase image and the amplitude image of the ferroelectric recording medium depending on the tracking state of a recording / reproducing head;

FIG. 11A to FIG. 11E are schematic diagrams showing one example of a tracking signal;

FIG. 12 is a schematic diagram showing one example of a detection circuit for detecting the tracking signal; and

25 FIG. 13 is a block diagram showing a block structure associated with recording / reproducing signal processing of a

dielectric recording / reproducing apparatus.

**DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS**  
**(Embodiments of Dielectric Recording / Reproducing Head)**

5       The embodiments of a dielectric recording / reproducing head associated with the present invention will be explained with reference to FIG. 1 to FIG. 6.

**(First Embodiment)**

FIG. 1A is a plan view showing the first embodiment. FIG. 10 1B is an A1-A1 cross sectional view of FIG. 1A. As shown in FIG. 1A and FIG. 1B, a dielectric recording / reproducing head 40a is provided with: a probe 11 for recording / reproducing data in / from a ferroelectric recording medium 1; and a slider 12 placed so as to surround the probe 11 and containing an electric conductor. The 15 head 40a may be further provided with a probe supporting device 14 containing an insulating member such as resin materials in the gap between the probe 11 and the slider 12.

The probe 11 has a longitudinal shape with a longer length in the width direction of the track 5, and covers the track 5 and one 20 portion of adjacent spaces. Therefore, if tracking error is small, the probe 11 is not off the track 5, so that it is possible to reproduce a signal with a good signal to noise (S / N) ratio. If the tracks 5 are placed adjacently, the signal component of the adjacent tracks can be sensitively detected as a tracking error signal. The direction of 25 tracking error can be determined by using wobbling technique, for example.

The slider 12 can be used, by earthing it, as a return electrode for returning a high-frequency electric field applied from the probe 11 to the ferroelectric recording medium 1 in order to reproduce a signal.

5        Moreover, the probe 11 is set not to project from a surface of the slider 12 facing to the ferroelectric recording medium 1. Due to this setting, it is possible to prevent the destruction of the probe 11 and the damage to the ferroelectric recording medium 1 caused by the probe 11 touching the ferroelectric recording medium 1.

10      The probe supporting device 14 is, for example, a resin molding member. The probe supporting device 14 holds the probe 11 therein and fixes the position of the probe 11 in the inside of the slider 12. Therefore, the position of the tip of the probe 11 is firmly fixed, so that accuracy of the data recording and the data reading can  
15      be improved.

(Second Embodiment)

FIG. 2A is a plan view showing the second embodiment. FIG. 2B is an A2-A2 cross sectional view of FIG. 2A. As shown in FIG. 2A and FIG. 2B, a dielectric recording / reproducing head 40b is provided  
20      with: the probe 11 for recording / reproducing data in / from the ferroelectric recording medium 1; and the slider 12 placed so as to surround the probe 11 and containing an insulator. The head 40b may be further provided with the probe supporting device 14 containing an insulating member such as resin materials in the gap  
25      between the probe 11 and the slider 12. Moreover, it is provided with a conductive film 12a on a surface of the slider 12 facing to the

ferroelectric recording medium 1. The slider 12 and the probe supporting device 14 may be formed in one piece.

The probe 11 has a longitudinal shape with a longer length in the width direction of the track 5, and covers the track 5 and one portion of adjacent spaces. Therefore, if tracking error is small, the probe 11 is not off the track 5, so that it is possible to reproduce a signal with a good S / N ratio. If the tracks 5 are placed adjacently, the signal component of the adjacent tracks can be sensitively detected as a tracking error signal. The direction of tracking error can be determined by using wobbling technique, for example.

The conductive film 12a can be used, by earthing it, as a return electrode for returning a high-frequency electric field applied from the probe 11 to the ferroelectric recording medium 1 in order to reproduce a signal.

Moreover, the probe 11 is set not to project from a surface of the conductive film 12a facing to the ferroelectric recording medium 1. Due to this setting, it is possible to prevent the destruction of the probe 11 and the damage to the ferroelectric recording medium 1 caused by the probe 11 touching the ferroelectric recording medium 1.

#### (Third Embodiment)

FIG. 3A is a plan view showing the third embodiment. FIG. 3B is an A3-A3 cross sectional view of FIG. 3A. As shown in FIG. 3A and FIG. 3B, a dielectric recording / reproducing head 40c is provided with: the probe 11 for recording / reproducing data in / from the ferroelectric recording medium 1; and the slider 12 placed so as to

surround the probe 11. The head 40c may be further provided with the probe supporting device 14 containing an insulating member such as resin materials in the gap between the probe 11 and the slider 12.

An end surface 41 of the slider 12, which is located against the 5 direction that the ferroelectric recording medium 1 relatively moves, i.e. the direction shown with an arrow R, is an inclined plane, which adjusts air flows generated by the movement of the ferroelectric recording medium 1 and which stabilizes the posture of the slider 12.

The slider 12 can be used, by containing an electric conductor 10 and earthing it, as a return electrode for returning a high-frequency electric field applied from the probe 11 to the ferroelectric recording medium 1 in order to reproduce a signal. Moreover, if the slider 12 and the probe supporting device 14 are formed in one piece using an insulating member and a conductive film is provided on a surface of 15 the slider 12 facing to the ferroelectric recording medium 1, this conductive film can be used, by earthing it, as the return electrode.

The probe 11 has a longitudinal shape with a longer length in the width direction of the track 5, and covers the track 5 and one portion of adjacent spaces. Therefore, if tracking error is small, the 20 probe 11 is not off the track 5, so that it is possible to reproduce a signal with a good S / N ratio. If the tracks 5 are placed adjacently, the signal component of the adjacent tracks can be sensitively detected as a tracking error signal. The direction of tracking error can be determined by using wobbling technique, for example.

25 Moreover, the probe 11 is set not to project from a surface of the slider 12 facing to the ferroelectric recording medium 1. Due to

this setting, it is possible to prevent the destruction of the probe 11 and the damage to the ferroelectric recording medium 1 caused by the probe 11 touching the ferroelectric recording medium 1.

(Fourth Embodiment)

5 FIG. 4A is a plan view showing the fourth embodiment. FIG. 4B is an A4-A4 cross sectional view of FIG. 4A. As shown in FIG. 4A and FIG. 4B, a dielectric recording / reproducing head 40d is provided with: the probe 11 for recording / reproducing data in / from the ferroelectric recording medium 1; a tracking error detection electrode 10 42 placed bridging adjacent tracks 5a and 5b; and the slider 12 placed so as to surround the probe 11 and the tracking error detection electrode 42. The head 40d may be further provided with the probe supporting device 14 containing an insulating member such as resin materials in the gap among the probe 11, the tracking error detection 15 electrode 42, and the slider 12.

The slider 12 can be used, by containing an electric conductor and earthing it, as a return electrode for returning a high-frequency electric field applied from the probe 11 to the ferroelectric recording medium 1 in order to reproduce a signal. Moreover, if the slider 12 20 and the probe supporting device 14 are formed in one piece using an insulating member and a conductive film is provided on a surface of the slider 12 facing to the ferroelectric recording medium 1, this conductive film can be used, by earthing it, as the return electrode.

Using the tracking error detection electrode 42 placed 25 bridging the adjacent tracks 5a and 5b, the amount of tracking error and the direction of error can be detected from signal components of

the tracks 5a and 5b. For example, forming pits in a control information area 7 (shown in FIG. 9) according to a predetermined rule, the detection of these can be performed.

Moreover, the probe 11 is set not to project from a surface of 5 the slider 12 facing to the ferroelectric recording medium 1. Due to this setting, it is possible to prevent the destruction of the probe 11 and the damage to the ferroelectric recording medium 1 caused by the probe 11 touching the ferroelectric recording medium 1.

(Fifth Embodiment)

FIG. 5A is a plan view showing the fifth embodiment. FIG. 5B is an A5-A5 cross sectional view of FIG. 5A. As shown in FIG. 5A and FIG. 5B, a dielectric recording / reproducing head 40e is provided with: the probe 11 for recording / reproducing data in / from the ferroelectric recording medium 1; a tracking error detection electrode 15 43 placed in front of the probe 11, bridging adjacent tracks 5a and 5b; a tracking error detection electrode 44 placed in front of the probe 11, bridging adjacent tracks 5a and 5c; and the slider 12 placed so as to surround the probe 11 and the tracking error detection electrodes 43 and 44. The head 40e may be provided with the probe supporting 20 device 14 containing an insulating member such as resin materials in the gap among the probe 11, the tracking error detection electrodes 43 and 44, and the slider 12.

The slider 12 can be used, by containing an electric conductor and earthing it, as a return electrode for returning a high-frequency 25 electric field applied from the probe 11 to the ferroelectric recording medium 1 in order to reproduce a signal. Moreover, if the slider 12

and the probe supporting device 14 are formed in one piece using an insulating member and a conductive film is provided on a surface of the slider 12 facing to the ferroelectric recording medium 1, this conductive film can be used, by earthing it, as a return electrode for  
5 returning a high-frequency electric field applied from the probe 11 to the ferroelectric recording medium 1 in order to reproduce a signal.

Using the tracking error detection electrode 43 placed bridging the adjacent tracks 5a and 5b, a tracking error signal can be detected from signal components of the tracks 5a and 5b. Moreover,  
10 using the tracking error detection electrode 44 placed bridging the adjacent tracks 5a and 5c, a tracking error signal can be detected from signal components of the tracks 5a and 5c. The tracking error detection electrodes 43 and 44 are placed correspondingly to the inner and outer sides of the track 5a, so that the amount of tracking  
15 error and the direction of error can be detected by comparing their outputs.

Moreover, the probe 11 is set not to project from a surface of the slider 12 facing to the ferroelectric recording medium 1. Due to this setting, it is possible to prevent the destruction of the probe 11  
20 and the damage to the ferroelectric recording medium 1 caused by the probe 11 touching the ferroelectric recording medium 1.

(Sixth Embodiment)

FIG. 6A is a plan view showing the sixth embodiment. FIG. 6B is an A6-A6 cross sectional view of FIG. 6A. As shown in FIG. 6A  
25 and FIG. 6B, a dielectric recording / reproducing head 40f is provided with: the probe 11 for recording / reproducing data in / from the

ferroelectric recording medium 1; a tracking error detection electrode 45 placed bridging adjacent tracks 5a and 5b; a tracking error detection electrode 46 placed bridging adjacent tracks 5a and 5c; and the slider 12 placed so as to surround the probe 11 and the tracking  
5 error detection electrodes 45 and 46. The head 40f may be further provided with the probe supporting device 14 containing an insulating member such as resin materials in the gap among the probe 11, the tracking error detection electrodes 45 and 46, and the slider 12.

10       The slider 12 can be used, by containing an electric conductor and earthing it, as a return electrode for returning a high-frequency electric field applied from the probe 11 to the ferroelectric recording medium 1 in order to reproduce a signal. Moreover, if the slider 12 and the probe supporting device 14 are formed in one piece using an  
15 insulating member and a conductive film is provided on a surface of the slider 12 facing to the ferroelectric recording medium 1, this conductive film can be used, by earthing it, as a return electrode for returning a high-frequency electric field applied from the probe 11 to the ferroelectric recording medium 1 in order to reproduce a signal.

20       Using the tracking error detection electrode 45 placed in front of the probe 11, bridging the adjacent tracks 5a and 5b, a tracking error signal can be detected from signal components of the tracks 5a and 5b. Moreover, using the tracking error detection electrode 46 placed behind the probe 11, bridging the adjacent tracks 5a and 5c, a  
25 tracking error signal can be detected from signal components of the tracks 5a and 5c. The tracking error detection electrodes 45 and 46

are placed correspondingly to the inner and outer sides of the track 5a, so that the amount of tracking error and the direction of error can be detected by comparing their outputs.

The tracking error detection electrodes 45 and 46 are placed in 5 front of and behind the probe 11, respectively, so that even if the track pitch are different from the pitch between the electrodes 45 and 46, it is possible to match the pitches by rotating the head 40f while setting the position of the probe 11 as the axis.

Moreover, the probe 11 is set not to project from a surface of 10 the slider 12 facing to the ferroelectric recording medium 1. Due to this setting, it is possible to prevent the destruction of the probe 11 and the damage to the ferroelectric recording medium 1 caused by the probe 11 touching the ferroelectric recording medium 1.

The slider 12 in the above-described each embodiment may be 15 provided with an appropriate groove or concave formed on its surface facing to the ferroelectric recording medium 1. The groove of this kind, for example, allows more proper control of a space between the slider 12 and the ferroelectric recording medium 1.

(Embodiment of Dielectric Recording Medium)

Explaining one example of the ferroelectric recording medium 20 in which recording / reproducing is performed using the dielectric recording / reproducing head of the present invention, as shown in FIG. 7A, the ferroelectric recording medium 1 in a disc form is provided with: a center hole 4; an inner area 101; a recording area 25 102; and an outer area 103, arranged concentrically from the inside in this order. The center hole 4 is used when the medium is

mounted on a spindle motor or the like.

The inner area 101, the recording area 102, and the outer area 103 contain a uniform and flat ferroelectric material. If the recording area 102 has an up polarization direction, i.e. being a plus surface, the inner area 101 and the outer area 103 have down polarization directions, i.e. being polarized into a minus surface in advance.

The recording area 102 is an area for recording data therein. The tracks and spaces are formed in the recording area 102. Each 10 space is located between two of the tracks. At several or many portions on the tracks or spaces, areas in which control information about the recording / reproducing is recorded are formed. The inner area 101 and the outer area 103 are used to recognize the inner and outer positions of the ferroelectric recording medium 1 and can be 15 also used as an area in which information about recording data, such as title, its address, recording time, and recording capacity, is recorded.

As shown in FIG. 7B, the ferroelectric recording medium 1 is provided with: a substrate 15; an electrode 16 laminated on the 20 substrate 15; and a ferroelectric material 17 laminated on the electrode 16. The inner area 101, the recording area 102 and the outer area 103 are independently polarized in the directions shown with arrows.

The substrate 15 may be Si, for example, which is a preferable 25 material due to its strength, chemical stability, workability, and the like. The electrode 16 is intended to generate an electric field

between the electrode 16 and the probe of a recording / reproducing head and applies to the ferroelectric material 17 an electric field stronger than its coercive electric field to determine the polarization direction. Data is recorded by determining the polarization 5 direction correspondingly to the data. Incidentally, the probe is an electrode, which is provided for the recording / reproducing head, for applying an electric field to the ferroelectric material 17, and a pin shape or needle-shape, a cantilever-shape and the like are known as its specific structures. The probe used here functions as an 10 electrode for recording / reproducing data in / from the ferroelectric recording medium, and any shaped probe, even other than the pin shape and the cantilever shape, e.g. a thin film electrode, can be used.

As the ferroelectric material 17, LiTaO<sub>3</sub> may be used, for 15 example. The recording is performed with respect to the Z surface of the LiTaO<sub>3</sub>, where a plus surface and a minus surface of the polarization are in a 180-degree domain relationship. Alternatively, other ferroelectric materials may be used.

Moreover, the ferroelectric recording medium of the present 20 invention may have only the recording area 102. It is also possible to divide the recording area 102 of the ferroelectric recording medium 1 into a plurality of concentric areas. In this case, a separation zone is placed between the divided recording areas adjacent to each other, and the polarization direction in the separation zone is set in the 25 opposite direction to that in each divided recording area (e.g. it is set in the same direction as that in the inner area 101 and the outer area

103. Incidentally, the ferroelectric recording medium is not limited to the above-described disc formed medium, but may be available to a medium provided with linear tracks, for example.

Next, the recording / reproducing principle of the  
5 above-described ferroelectric recording medium 1 will be explained with reference to FIG. 8. A ferroelectric recording medium 1 is provided with: the substrate 15; the electrode 16 placed on the substrate 15; and the ferroelectric material 17 placed on the electrode 16. Data is recorded in the ferroelectric material 17 as it  
10 polarization directions P.

When an electric field stronger than the coercive electric field of the ferroelectric material 17 is applied between a probe 11 and the electrode 16, the ferroelectric material 17 is polarized in a direction corresponding to the direction of the applied electric field. The  
15 polarization direction corresponds to the data. A return electrode 12b is an electrode for returning a high-frequency electric field applied to the ferroelectric material 17 from the probe 11 so as to reproduce recorded data and is placed so as to surround the probe 11. Incidentally, the return electrode 12b may be in any form if shaped  
20 and placed to allow the return of the electric field from the probe 11 without resistance.

Next, an example of the tracks provided in the recording area  
102 of the ferroelectric recording medium 1 described above will be explained with reference to FIG. 9. Tracks 5 and spaces 6 are  
25 alternately placed concentrically or spirally. At several or many portions on each track, control information areas 7 and data areas 8

are formed. The control information areas 7 may be formed in the spaces 6. In the track 5 and the space 6 in their initial state, polarization directions are set in up direction and their surface is positive. Further, when data is recorded in such a condition that a  
5 data bit "1" corresponds to the positive direction of the polarization, and a data bit "0" corresponds to the negative direction of the polarization. Therefore, the data bit "0" is recorded by applying an electric field in the negative direction stronger than the coercive electric field, while the data bit "1" is recorded by performing no  
10 modification. Alternatively, the polarization directions for the data bit "1" and the data bit "0" may be opposite.

In the control information area 7, there are recorded information about tracking, information about track access, information about a relative movement rate between the probe and  
15 the ferroelectric recording medium 1 and the like. It is also possible to provide a plurality of control information areas 7 on the same circle.

Especially, in one example of the information about tracking, as shown in the control information areas 7 in FIG. 9, signal lines in  
20 which pits having plus surfaces and minus surfaces are alternately placed are arranged with the phase of pit lines shifted at an angle of 90 degrees in the track 5 and space 6 adjacent to each other. The ferroelectric recording medium in a format having only the tracks 5 has the same arrangement between the adjacent tracks 5. Since the  
25 probe 11 traces the control information area 7 having this type of signal arrangement, a recording frequency component twice as high

(or a double recording frequency component) is outputted when deviating from the target track. Detecting the size of this frequency component and the track deviation direction, it is possible to perform tracking control. This will be explained in detail later with  
5 reference to FIGs. 11 and FIG. 12.

(Embodiment about Tracking Method)

Next, one example of tracking control will be explained with reference to FIG. 10 to FIG. 12.

FIG. 10 is a schematic diagram showing the phase image and  
10 the amplitude image depending on the tracking state of the recording / reproducing head, in which the spaces 6 are provided on the both side of the track 5, sandwiching it. The spaces 6 are polarized in the positive direction, and the track 5 has pits 9 polarized in the positive direction correspondingly to data bits "1" and pits 9 polarized in the  
15 negative direction correspondingly to data bits "0". The graphs show the phase image and the amplitude image in a portion with data arranged in the order of plus, minus, minus and plus. Although the respective five graphs show the phase image and the amplitude image in the same portion, "ON track" state is different in each graph.  
20 "On track" state means a state in which the probe follows the track without being off the track or out of position. When the positional relationship between the probe and the track maintains exactly, "On track" state is 100%. In five graphs in FIG. 5, the "ON track" state of the probe 11 is 100%, 75%, 50%, 25% and 0% from the top. The  
25 solid line shows the phase image, and the dotted line shows the amplitude image. The output of the phase image is very sharp, so

that the phase image can be preferably used for the tracking control. The phase image shows the signal component of phase information in a reproduction signal reproduced by the SNDM. This corresponds to the plus and minus of the polarization direction corresponding to recorded data. The amplitude image shows a signal including not only a phase component but also an intensity component in the reproduction signal reproduced by the SNDM, and the latter is closer to the raw data of the reproduction signal.

Next, the detection of tracking error will be explained in the case of alternately placing pits having plus surfaces and minus surfaces in the adjacent tracks 5, or in the tracks 5 and the spaces 6, with the phase of pit lines shifted at an angle of 90 degrees. FIG. 11A shows that pits for detecting tracking error are provided in the control information areas 7 of the adjacent tracks 5a and 5b. The pits having plus surfaces and minus surfaces are alternately placed with the phase of pit lines shifted at an angle of 90 degrees between tracks 5a and 5b. Information about these is recorded in the control information area 7 of the ferroelectric recording medium 1, for example.

FIG. 11B shows an output waveform of the track 5a, and FIG. 11C shows an output waveform of the track 5b. As compared with these two waveforms, their phases are shifted at an angle of 90 degrees. Assuming that the probe 11 deviates from the track and traces between the tracks 5a and 5b, the output obtained is as shown in FIG. 11D. From this signal, a double frequency output can be obtained, as shown in FIG. 11E, by a diode bridge circuit 50 in FIG.

12. Tracking control is performed on the basis of this double frequency output. Incidentally, the direction of tracking error can be detected by wobbling which is the same technique as that used for an optical disk or the like, for example.

5 As shown in FIG. 12, the diode bridge circuit 50 has diodes D1 to D4 connected to a bridge, forming a so-called rectifier circuit. The signal shown in FIG. 11D is inputted between the connection point of the diodes D1 and D2 and the connection point of the diodes D3 and D4, and the signal shown in FIG. 11E is outputted from  
10 between the connection point of the diodes D1 and D3 and the connection point of the diodes D2 and D4.

Other than the above-explained tracking method, it is also possible to use a method of performing tracking control on the basis of an output from an electrode provided only for detecting tracking  
15 error, and wobbling technique generally used for an optical disk.

(Structure Example of Dielectric Recording / Reproducing Apparatus to which Dielectric Recording / Reproducing Head and Tracking Method are applied)

One example of a dielectric recording / reproducing apparatus  
20 to which the dielectric recording / reproducing head and the tracking method associated with the present invention are applied will be explained with reference to FIG. 13. Incidentally, a recording / reproducing apparatus using a ferroelectric recording medium provided with linear recording tracks can be also constructed by  
25 using a mechanism in which its probe and ferroelectric recording medium are moved linearly and relatively.

A dielectric recording / reproducing apparatus 10 is provided with: the probe 11 for applying an electric field with its tip portion facing to the ferroelectric material 17 of the ferroelectric recording medium 1; the return electrode 12b for returning the electric field applied from the probe 11; an inductor L placed between the probe 11 and the return electrode 12b; an oscillator 13 which oscillates at a resonance frequency determined from the inductor L and a capacitance (e.g. a capacitance Cs shown in FIG. 8) in a portion formed in the ferroelectric material just under the probe 11 and polarized correspondingly to recorded data; a switch 30 for switching an input signal when recording; a recording signal input device 31 for converting data to be recorded to generate a signal for recording; an alternating current (AC) signal generation device 32 for generating an alternating current (AC) signal which is referred to in coherent detection; a frequency modulation (FM) demodulator 33 for demodulating a FM modulation signal modulated by the capacitance corresponding to a nonlinear dielectric constant of the ferroelectric material just under the probe 11; a detector 34 for detecting data from the demodulated signal by using the coherent detection; and a tracking error detector 35 for detecting a tracking error signal from the demodulated signal.

The probe 11 is a conductive member, or an insulating member coated with a conductive film. The tip portion facing to the ferroelectric material 17 is hemispherical, having a predetermined radius. This radius is an important factor in determining the radius of the polarization formed in the ferroelectric material 17

correspondingly to record data, so it is extremely small, on the order of 10 nm. Data is recorded by applying a voltage between the probe 11 and the electrode 16 to form in the ferroelectric material 17 a domain polarized in a predetermined direction, while the recorded 5 data is picked up on the basis of the capacitance corresponding to the polarization.

The return electrode 12b is an electrode for returning the high-frequency electric field generated by the oscillator 13 and applied to the ferroelectric material 17 from the probe 11, and is 10 placed so as to surround the probe 11. More concretely, the return electrode 12b is provided with the slider 12 shown in FIG. 1 or the conductive film 12a shown in FIG. 2. In the SNDM method, the change of the capacitance corresponding to a nonlinear dielectric constant of the ferroelectric material is directly detected. To detect 15 this change of the capacitance, it is preferable that a compact oscillating circuit is formed on or above one surface of the ferroelectric recording medium. In this example, the oscillating circuit (resonance circuit) is provided with the oscillator 13, the inductor L, the probe 11, and the return electrode 12b. In this 20 oscillating circuit, the high-frequency signal flows from the probe 11 to the return electrode 12b thorough the ferroelectric material 17, as shown in FIG. 13. This route is a part of the oscillating circuit. It is preferable that this route is short in order to reduce noises due to a floating capacitance C0 and the like. The return electrode 12b is 25 disposed so as to surround the probe 11 and the distance between the probe 11 and the return electrode 12b is very short. Therefore, the

route that the high-frequency signal flows can be shortened, so that the noises can be reduced.

The inductor L is placed between the probe 11 and the return electrode 12b, and may be formed with a microstripline, for example.

5      The resonance frequency of the resonance circuit containing the oscillator 13, the inductor L, the probe 11 and the return electrode 12b is determined by the inductor L and the capacitance Cs. The inductance of the inductor L is determined so that this resonance frequency,  $f = 1/2\pi\sqrt{LC_s}$ , is about 1 GHz, for example. Incidentally,

10     the capacitance factor to determine the resonance frequency f is not only the capacitance Cs but also the floating capacitance C0. However, since the recording / reproducing head of the present invention takes a structure for compact placement in view of the floating capacitance C0, the C0 can be assumed to be practically a

15     constant when reproducing a signal by the SNDM. The resonance frequency f is simply expressed here as a function of the capacitance Cs and the inductor L because what changes the f in the signal reproduction is a capacitance change  $\Delta C_s$  of the Cs. In fact, however, the capacitance includes the floating capacitance C0, and

20     has implications of  $C_s + C_0$ .

The change of the capacitance Cs corresponds to the nonlinear dielectric constant of the ferroelectric material 17 located just under the tip of the probe 11. The nonlinear dielectric constant of the ferroelectric material 17 located just under the tip of the probe 11 is

25     determined according to the polarization direction of the ferroelectric material 17 at this part. In the state that data was recorded in the

recording area 102 of the ferroelectric material 17, the polarization directions of the ferroelectric material 17 within the recording area 102 are changed and set according to the data (e.g. a bit sequence of the data). Therefore, the change of the capacitance Cs is changed  
5 according to the data recorded in the ferroelectric material 17.

The oscillator 13 is an oscillator which oscillates at the frequency determined from the inductor L and the capacitance Cs. The oscillation frequency varies, depending on the change of the capacitance Cs. Therefore, FM modulation is performed  
10 correspondingly to the change of the capacitance Cs determined by the polarization domain corresponding to the recorded data. By demodulating this FM modulation, it is possible to read the recorded data.

When the data recorded in the ferroelectric recording medium  
15 1 is reproduced, the probe 11 touches the ferroelectric material 17, or faces to it with a small space. Corresponding to the radius of the tip portion of the probe 11, a polarization domain is defined in the ferroelectric material 17. If the high-frequency signal is applied to this probe 11, a high-frequency electric field is generated in the  
20 ferroelectric material 17, and the high-frequency signal returns to the return electrode 12b via the ferroelectric material 17. At this time, the capacitance Cs, which corresponds to a polarization P in the ferroelectric material 17 on or under the tip portion of the probe 11, participates in the resonance circuit made with the inductance L.  
25 By this, the oscillation frequency comes to depend on the capacitance Cs. By demodulating an oscillation signal which is FM-modulated

on the basis of this capacitance Cs, a detection voltage shown in FIG. 10 is outputted, and the recorded data is reproduced. On the other hand, in data recording, the recording is performed by applying a voltage corresponding to the data between the probe 11 and the 5 electrode 16 and thus determining the polarization direction of the ferroelectric material 17. The voltage applied for the data recording generates an electric field stronger than the coercive electric field of the ferroelectric material 17.

Incidentally, it is also possible to use a plurality of probes 11.  
10 In using a plurality of probes, record data and AC signals for coherent detection at the time of reproduction are applied between the respective probe and the electrode 16. In this case, it is preferable to provide a low cut filter in order to prevent the leakage of the signals into the oscillator 13.

15 The switch 30 is intended to switch the input signal when recording or reproducing. The position of the switch 30 is selected so as to input only the AC signal which is referred to in the detection when reproducing, and so as to input a signal about data and the AC signal when recording.

20 The recording signal input device 31 converts the data to be recorded in a recording format and adds the accompanying control information, to generate a recording signal. Processing about an error correction, processing of data compression and the like may be performed at this stage.

25 The AC signal generation device 32 generates an AC signal for coherent detection when recording (monitoring) / reproducing. If

there are a plurality of probes 11, the AC signals with different frequencies are applied to the probes separately.

When recording, a recording signal is supplied from the recording signal input device 31 to the electrode 16. By an electric field between the probe 11 and the electrode 16, the polarization of a domain of the ferroelectric material 17 just under the probe 11 is determined. Then, the polarization direction is fixed and becomes record data. Incidentally, the AC signal of the AC signal generation device 32 is superimposed on the recording signal. This is used for monitoring the recorded data which is now recorded while the data recording is performed. The process of monitoring the recorded data is the same as the process of reproducing the recorded data. Namely, the oscillator 13 oscillates at the resonance frequency determined from the inductor L and the capacitance Cs, and the frequency is modulated by the capacitance Cs.

The FM demodulator 33 demodulates the oscillation frequency of the oscillator 13 modulated by the capacitance Cs, and reconstructs a wave form corresponding to the polarized state of a portion on which the probe 11 traces.

The detector 34 performs the coherent detection on the signal demodulated at the FM demodulator 33 with the AC signal from the AC signal generation device 32 as a reference signal and reproduces recorded data. Thus, the recording state can be monitored while the data recording is being performed.

The tracking error detector 35 detects a tracking error signal for controlling the apparatus from the signal demodulated at the FM

demodulator 33. The detected tracking error signal is inputted to a tracking mechanism to control the apparatus.

As explained above, the dielectric recording / reproducing apparatus 10 is one example in which the dielectric recording / 5 reproducing head and the tracking method associated with the present invention are applied, and it is possible to take other various structures, obviously.

The invention may be embodied in other specific forms without departing from the spirit or essential characteristics thereof. The 10 present embodiments are therefore to be considered in all respects as illustrative and not restrictive, the scope of the invention being indicated by the appended claims rather than by the foregoing description and all changes which come within the meaning and range of equivalency of the claims are therefore intended to be 15 embraced therein.

The entire disclosure of Japanese Patent Application No. 2002-200122 filed on July 9, 2002 including the specification, claims, drawings and summary is incorporated herein by reference in its entirety.